



Multi-disciplinary practice (MDP) notification form:

Regulatory proceedings by non-SRA regulators or change in regulatory status

This form is to help you notify us that the firm or any part of its business has become the subject of regulatory proceedings or sanctions by any other regulator or that it has ceased to be regulated by a non-SRA regulator.

For all other enquiries, reports or complaints, please refer to our [Contact us](#) section on our website.

Please send the completed form, together with supporting documents, by email to report@sra.org.uk

In all cases, please check the relevant boxes.

Contact Details					
Your title	Mr <input type="checkbox"/>	Mrs <input type="checkbox"/>	Ms <input type="checkbox"/>	Miss <input type="checkbox"/>	Other (please specify)
Your surname					
Your first name(s)					
Your firm					
Your firm address and postcode					
Your contact phone number(s)					
Your email address					
If you need any reasonable adjustments or assistance to make your notification, then please tell us here					

Details of your notification		
Are you reporting that your firm has ceased to be regulated by a non-SRA regulator?	Yes <input type="checkbox"/>	No <input type="checkbox"/>

If yes, confirm which regulator is concerned.					
Are you reporting an investigation by another regulator?	Yes <input type="checkbox"/>		No <input type="checkbox"/>		
If yes, confirm: <ul style="list-style-type: none"> • which regulator is concerned • the commencement date of the investigation • when you anticipate the investigation will conclude. 					
Are you reporting a sanction issued by another regulator?	Yes <input type="checkbox"/>		No <input type="checkbox"/>		
If yes, confirm: <ul style="list-style-type: none"> • which regulator is concerned • the nature of sanction • the date the sanction was issued 					
Is the conduct that is being investigated or sanctioned related to the firm's SRA regulated activities?	Yes <input type="checkbox"/>		No <input type="checkbox"/>		
Are any SRA-authorized individuals involved in the conduct that is being investigated or sanctioned?	Yes – solicitor on the roll of England and Wales <input type="checkbox"/>	Yes – registered European lawyer <input type="checkbox"/>	Yes – registered foreign lawyer <input type="checkbox"/>	Yes – registered Swiss lawyer <input type="checkbox"/>	No <input type="checkbox"/>
Are any other regulated persons involved in the conduct that is being investigated or sanctioned either because they are: <p>(a) directly involved in the firm's regulated activities; or</p> <p>(b) working under the direction or supervision of an authorised individual?</p>	Yes <input type="checkbox"/>		No <input type="checkbox"/>		

If you have answered 'yes' to any questions above, please provide the following additional information (as applicable):

- summarise the conduct under investigation / subject to sanction
- provide details of who was involved including full name, SRA number, regulatory status, job title and any authorised roles held
- confirm which SRA regulated activities are the subject of the investigation or sanction
- include copies of, or links to, any investigation documents containing allegations or sanction decisions
- provide details of any remedial action the firm has taken to prevent re-occurrence of these issues
- where a sanction contains directions or requires action by the firm or an individual (such as the payment of fine or steps to be taken) confirm the status of those action points. Explain any non-compliance.

Your signature:

Date:

We recommend that you keep a copy of any documents sent to us with this form.

Data protection notice

The information you have provided in this form may be shared with third parties.

If you would like to know more about how we collect and use your information, please read our privacy notice which is available on our website at www.sra.org.uk/privacy.