

# Memorandum of Understanding and Information Sharing Agreements

Last updated 1 August 2024

To help clarify our role and functions in selected areas of regulatory activity, we have signed Memoranda of Understandings and Information Sharing Agreements with other organisations.

For details, please see below.

[Open all \[#\]](#)

## **Memoranda of Understanding**

### **Memorandum of Understanding between HMRC and the SRA**

August 2024

#### **Signatories**

- Solicitors Regulation Authority
- His Majesty's Revenue and Customs

[Download the Memorandum of Understanding between HMRC and the SRA \(PDF 17 pages, 456KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-hmrc.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-hmrc.pdf)

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### **Memorandum of Understanding between the Office of the Public Guardian and the SRA**

5 June 2024

#### **Signatories**

- Solicitors Regulation Authority
- The Office of the Public Guardian

[Download the Memorandum of Understanding between the Office of the Public Guardian and the SRA \(PDF 17 pages, 886KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-opg.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-opg.pdf)

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### **Memorandum of Understanding between the OFSI and the SRA**



26 February 2024

### **Signatories**

- Solicitors Regulation Authority
- The Office of Financial Sanctions Implementation

[Download the Memorandum of Understanding between the OFSI and the SRA \(PDF, 7 pages, 182KB\).](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-sra-ofsi.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-sra-ofsi.pdf)

## **Memorandum of Understanding between the UKRN and the SRA**

September 2023

### **Signatories**

- Solicitors Regulation Authority
- The UK Regulators' Network

[Download the Memorandum of Understanding between the UKRN and the SRA \(PDF, 2 pages, 165KB\).](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/ukrn-mou-with-solicitors-regulation-authority.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/ukrn-mou-with-solicitors-regulation-authority.pdf)

## **Switching between approved regulators protocol: (switching protocol between the SRA and IPReg)**

May 2023

### **Signatories**

- Solicitors Regulation Authority
- Intellectual Property Regulation Board

[Download the Switching between approved regulators protocol \(PDF, 3 pages, 77KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/switching-protocol-between-the-sra-and-ipreg.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/switching-protocol-between-the-sra-and-ipreg.pdf)

## **Memorandum of Understanding between the IPRB and the SRA**

May 2023

### **Signatories**

- Solicitors Regulation Authority
- Intellectual Property Regulation Board



[Download the Memorandum of Understanding between the IPRB and the SRA \(PDF, 8 pages, 140KB\).](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou---intellectual-property-regulation-board.pdf>]

## **Memorandum of Understanding between the FSCS and the SRA**

January 2023

### **Signatories**

- Solicitors Regulation Authority
- Financial Services Compensation Scheme

[Download the Memorandum of Understanding between the FSCS and the SRA \(PDF, 6 pages, 239KB\).](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-sra-fscs.pdf?version=48d587>]

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## **Memorandum of Understanding between the OIA and the SRA**

March 2021

### **Signatories**

- Solicitors Regulation Authority
- The Office of the Independent Adjudicator

[Download the Memorandum of Understanding between the OIA and the SRA \(PDF 5 pages, 126KB\).](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/sra-oia-mou.pdf>]

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## **Memorandum of Understanding between the LSS and the SRA**

March 2021

### **Signatories**

- Solicitors Regulation Authority
- The Council of the Law Society of Scotland

[Download the Memorandum of Understanding between the LSS and the SRA \(PDF 11 pages, 138KB\).](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-lss.pdf>]



## **Memorandum of Understanding between the CLC and the SRA**

March 2021

### **Signatories**

- Solicitors Regulation Authority
- Council for Licensed Conveyancers

[Download the Memorandum of Understanding between the CLC and the SRA \(PDF 6 pages, 232KB\)](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-clc.pdf>]

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## **Memorandum of Understanding between the OISC and the SRA**

October 2020

### **Signatories**

- Solicitors Regulation Authority
- Office of the Immigration Services Commissioner

[Download the Memorandum of Understanding between the OISC and the SRA \(PDF 7 pages, 146KB\)](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-oisc.pdf>]

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## **Memorandum of Understanding between the Judicial Appointments Commission and the SRA**

December 2019

### **Signatories**

- Solicitors Regulation Authority
- The Judicial Appointments Commission

[Download the Memorandum of Understanding between the Judicial Appointments Commission and the SRA \(PDF 7 pages, 197KB\)](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-jac.pdf>]

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## **Memorandum of Understanding between HM Land Registry and the SRA**

2 August 2019

### **Signatories**

- Solicitors Regulation Authority
- HM Land Registry

[Download the Memorandum of Understanding between HM Land Registry and the SRA \(PDF 8 pages, 48KB\).](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-hmlr.pdf>]

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## **Memorandum of Understanding between the Gambling Commission and the SRA**

July 2019

### **Signatories**

- Solicitors Regulation Authority
- Gambling Commission

[Download the Memorandum of Understanding between the Gambling Commission and the SRA \(PDF 8 pages, 214KB\).](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-gambling-commission.pdf>]

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## **Memorandum of Understanding between RICS and the SRA**

June 2019

### **Signatories**

- Solicitors Regulation Authority
- Royal Institution of Chartered Surveyors

[Download the Memorandum of Understanding between RICS and the SRA \(PDF 6 pages, 169KB\).](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-rics.pdf>]

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## **Memorandum of Understanding between the JCIO and the SRA**

June 2019

### **Signatories**



- Solicitors Regulation Authority
- Judicial Conduct Investigations Office

[Download the Memorandum of Understanding between the JCIO and the SRA \(PDF 5 pages, 192KB\)](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-jcio.pdf>]

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## **Memorandum of Understanding between the IFB and the SRA**

May 2019

### **Signatories**

- Solicitors Regulation Authority
- Insurance Fraud Bureau

[Download the Memorandum of Understanding between the IFB and the SRA \(PDF 8 pages, 174KB\)](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-ifb.pdf>]

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## **Framework memorandum of understanding to assist the approved regulators of legal services in their regulatory work for the public interest**

May 2019

### **Signatories**

- Solicitors Regulation Authority (SRA)
- Bar Standards Board (BSB)
- Council for Licensed Conveyancers (CLC)
- Chartered Institute of Legal Executives (CILEx) Regulation
- Costs Lawyer Standards Board (CLSB)
- The Faculty Office
- The Institute of Chartered Accountants in England and Wales (ICAEW)
- The Intellectual Property Regulation Board (IPReg)

[Download the framework memorandum \(PDF 8 pages, 769KB\)](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-framework.pdf>]

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## **Memorandum of Understanding between the FCA and the SRA**

April 2019



## **Signatories**

- Solicitors Regulation Authority
- Financial Conduct Authority

[Download the Memorandum of Understanding between the FCA and the SRA\(PDF 11 pages, 193KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-fca-2019.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-fca-2019.pdf)

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## **Memorandum of Understanding between the Information Commissioner and the SRA**

March 2019

### **Signatories**

- Solicitors Regulation Authority
- Information Commissioner

[Download the Memorandum of Understanding between the Information Commissioner and the SRA \(PDF 10 pages, 646KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-ico.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-ico.pdf)

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## **Memorandum of Understanding between the Serious Fraud Office and the SRA**

March 2019

### **Signatories**

- Solicitors Regulation Authority
- Serious Fraud Office

[Download the Memorandum of Understanding between the Serious Fraud Office and the SRA \(PDF 6 pages, 1MB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-sfo.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-sfo.pdf)

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## **Memorandum of Understanding between The Law Society of Jersey and the SRA**

February 2019

### **Signatories**

- Solicitors Regulation Authority
- The Law Society of Jersey



[Download the Memorandum of Understanding between The Law Society of Jersey and the SRA \(PDF 8 pages, 118KB\).](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-lsj.pdf)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-lsj.pdf>]

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## **Memorandum of Understanding between Jersey Financial Services Commission and the SRA**

February 2019

### **Signatories**

- Solicitors Regulation Authority
- Jersey Financial Services Commission

[Download the Memorandum of Understanding between Jersey Financial Services Commission and the SRA \(PDF 8 pages, 232KB\).](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-jfsc.pdf)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-jfsc.pdf>]

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## **Memorandum of Understanding between CILEx Regulation and the SRA**

June 2018

### **Signatories**

- Solicitors Regulation Authority
- CILEx Regulation

[Download the Memorandum of Understanding between CILEx Regulation and the SRA \(PDF 7 pages, 163KB\).](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-cilex.pdf)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-cilex.pdf>]

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## **Memorandum of Understanding between the CLC and the SRA for switching between approved regulators**

December 2017

### **Signatories**

- Solicitors Regulation Authority
- Council for Licensed Conveyancers

[Download the Memorandum of Understanding between the CLC and the SRA for switching between approved regulators \(PDF 10 pages, 202KB\).](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-switching-clc.pdf)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-switching-clc.pdf>]

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## **Memorandum of Understanding between the Charity Commission and the SRA**

November 2017

### **Signatories**

- Solicitors Regulation Authority
- Charity Commission for England and Wales

[Download the Memorandum of Understanding between the Charity Commission and the SRA \(PDF 18 pages, 469KB\)](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-charity-commission.pdf)  
[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-charity-commission.pdf>]

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## **Memorandum of Understanding between the Legal Aid Agency and the SRA**

November 2017

### **Signatories**

- Solicitors Regulation Authority
- Legal Aid Agency

[Download the Memorandum of Understanding between the Legal Aid Agency and the SRA \(PDF 7 pages, 158KB\)](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-laa.pdf)  
[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-laa.pdf>]

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## **Memorandum of Understanding between the Insolvency Service and the SRA**

September 2017

### **Signatories**

- Solicitors Regulation Authority
- The Insolvency Service

[Download the Memorandum of Understanding between the Insolvency Service and the SRA \(PDF 7 pages, 92KB\)](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-insolvency.pdf)  
[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-insolvency.pdf>]

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## **Memorandum of Understanding between the Isle of Man FSA and the SRA**

September 2017



## **Signatories**

- Solicitors Regulation Authority
- Isle of Man Financial Services Authority

[Download the Memorandum of Understanding between the Isle of Man FSA and the SRA \(PDF 8 pages, 239KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-iom-fsa.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-iom-fsa.pdf)

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## **Memorandum of Understanding between the GFSC and the SRA**

May 2017

### **Signatories**

- Solicitors Regulation Authority
- Gibraltar Financial Services Commission

[Download the Memorandum of Understanding between the GFSC and the SRA \(PDF 6 pages, 115KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-gfsc.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-gfsc.pdf)

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## **Memorandum of Understanding between the Legal Ombudsman and the SRA**

August 2016

### **Signatories**

- Solicitors Regulation Authority
- Legal Ombudsman

[Download the Memorandum of Understanding between the Legal Ombudsman and the SRA \(PDF 5 pages, 143KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-leo.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-leo.pdf)

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## **Operational Protocol for the Sharing and Disclosure of Information between the BSB and the SRA**

February 2016

### **Signatories**

- Solicitors Regulation Authority
- Bar Standards Board



[Download the protocol \(PDF 6 pages, 124KB\).](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-bsb-sharing-doi.pdf>]

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## **Memorandum of Understanding between the BSB and the SRA**

October 2015

### **Signatories**

- Solicitors Regulation Authority
- Bar Standards Board

[Download the Memorandum of Understanding between the BSB and the SRA \(PDF 6 pages, 142KB\).](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-bsb.pdf>]

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## **Switching between approved regulators protocols between Solicitors Regulation Authority and Bar Standards Board**

February 2018

### **Signatories**

- Solicitors Regulation Authority
- Bar Standards Board

[Download the Switching between approved regulators protocols between Solicitors Regulation Authority and Bar Standards Board \(PDF 4 pages,](#)

[1MB\).](#) [<https://rules.sra.org.uk/globalassets/documents/sra/mou/bsb-sra-switch-protocol.pdf>]

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## **Switching between approved regulators protocols between Solicitors Regulation Authority and CILEx Regulation**

June 2018

### **Signatories**

- Solicitors Regulation Authority
- CILEx Regulation

[Download the Switching between approved regulators protocols between Solicitors Regulation Authority and CILEx Regulation \(PDF 4 pages, 76KB\).](#)



[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/switch-cilex.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/switch-cilex.pdf)

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## **Memorandum of Understanding between Regulator of Community Interest Companies and the SRA**

April 2015

### **Signatories**

- Solicitors Regulation Authority
- Regulator of Community Interest Companies

[Download the Memorandum of Understanding between Regulator of Community Interest Companies and the SRA \(PDF 8 pages, 168KB\)](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-rcic.pdf)  
[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-rcic.pdf>]

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## **Memorandum of Understanding between the ACPO and the SRA**

October 2013

### **Signatories**

- Solicitors Regulation Authority
- The Association of Chief Police Officers of England and Wales

[Download the Memorandum of Understanding between the ACPO and the SRA \(PDF 6 pages, 143KB\)](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-acpo.pdf)  
[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-acpo.pdf>]

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## **Memorandum of Understanding between the FCA and the SRA concerning exempt professional firms and authorised professional firms**

March 2013

### **Signatories**

- Solicitors Regulation Authority
- Financial Conduct Authority

[Download the Memorandum of Understanding between the FCA and the SRA concerning exempt professional firms and authorised professional firms \(PDF 12 pages, 155KB\)](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-fca.pdf)  
[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-fca.pdf>]

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## **Memorandum of Understanding between the Pensions Regulator and the SRA**

March 2012

### **Signatories**

- Solicitors Regulation Authority
- The Pensions Regulator

[Download the Memorandum of Understanding between the Pensions Regulator and the SRA \(PDF 12 pages, 229KB\)](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-pensions-regulator.pdf>]

### **Information Sharing Agreements**

## **Statement of Intent between the Solicitors Regulation Authority and the Finnish Bar Association**

October 2020

### **Signatories**

- Solicitors Regulation Authority
- Finnish Bar Association

[Download the statement \(PDF 6 pages, 202KB\)](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/soi-fba-sra.pdf>]

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## **Statement of Intent between the Solicitors Regulation Authority and the Lithuanian Bar Association**

October 2020

### **Signatories**

- Solicitors Regulation Authority
- Lithuanian Bar Association

[Download the statement \(PDF 7 pages, 216KB\)](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/soi-lba-sra.pdf>]

Example