

Memorandum of Understanding and Information Sharing Agreements

Last updated 1 August 2024

To help clarify our role and functions in selected areas of regulatory activity, we have signed Memoranda of Understandings and Information Sharing Agreements with other organisations.

For details, please see below.

[Open all \[#\]](#)

Memoranda of Understanding

Memorandum of Understanding between HMRC and the SRA

August 2024

Signatories

- Solicitors Regulation Authority
- His Majesty's Revenue and Customs

[Download the Memorandum of Understanding between HMRC and the SRA \(PDF 17 pages, 456KB\).](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-hmrc.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-hmrc.pdf)

Memorandum of Understanding between the Office of the Public Guardian and the SRA

5 June 2024

Signatories

- Solicitors Regulation Authority
- The Office of the Public Guardian

[Download the Memorandum of Understanding between the Office of the Public Guardian and the SRA \(PDF 17 pages, 886KB\).](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-opg.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-opg.pdf)

Memorandum of Understanding between the OFSI and the SRA



26 February 2024

Signatories

- Solicitors Regulation Authority
- The Office of Financial Sanctions Implementation

[Download the Memorandum of Understanding between the OFSI and the SRA \(PDF, 7 pages, 182KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-sra-ofsi.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-sra-ofsi.pdf)

Memorandum of Understanding between the UKRN and the SRA

September 2023

Signatories

- Solicitors Regulation Authority
- The UK Regulators' Network

[Download the Memorandum of Understanding between the UKRN and the SRA \(PDF, 2 pages, 165KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/ukrn-mou-with-solicitors-regulation-authority.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/ukrn-mou-with-solicitors-regulation-authority.pdf)

Switching between approved regulators protocol: (switching protocol between the SRA and IPReg)

May 2023

Signatories

- Solicitors Regulation Authority
- Intellectual Property Regulation Board

[Download the Switching between approved regulators protocol \(PDF, 3 pages, 77KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/switching-protocol-between-the-sra-and-ipreg.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/switching-protocol-between-the-sra-and-ipreg.pdf)

Memorandum of Understanding between the IPRB and the SRA

May 2023

Signatories

- Solicitors Regulation Authority
- Intellectual Property Regulation Board



[Download the Memorandum of Understanding between the IPRB and the SRA \(PDF, 8 pages, 140KB\).](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou---intellectual-property-regulation-board.pdf)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou---intellectual-property-regulation-board.pdf>]

Memorandum of Understanding between the FSCS and the SRA

January 2023

Signatories

- Solicitors Regulation Authority
- Financial Services Compensation Scheme

[Download the Memorandum of Understanding between the FSCS and the SRA \(PDF, 6 pages, 239KB\).](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-sra-fscs.pdf?version=48d587)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-sra-fscs.pdf?version=48d587>]

Memorandum of Understanding between the OIA and the SRA

March 2021

Signatories

- Solicitors Regulation Authority
- The Office of the Independent Adjudicator

[Download the Memorandum of Understanding between the OIA and the SRA \(PDF 5 pages, 126KB\).](https://rules.sra.org.uk/globalassets/documents/sra/mou/sra-oia-mou.pdf)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/sra-oia-mou.pdf>]

Memorandum of Understanding between the LSS and the SRA

March 2021

Signatories

- Solicitors Regulation Authority
- The Council of the Law Society of Scotland

[Download the Memorandum of Understanding between the LSS and the SRA \(PDF 11 pages, 138KB\).](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-lss.pdf)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-lss.pdf>]



Memorandum of Understanding between the CLC and the SRA

March 2021

Signatories

- Solicitors Regulation Authority
- Council for Licensed Conveyancers

[Download the Memorandum of Understanding between the CLC and the SRA \(PDF 6 pages, 232KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-clc.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-clc.pdf)

Memorandum of Understanding between the OISC and the SRA

October 2020

Signatories

- Solicitors Regulation Authority
- Office of the Immigration Services Commissioner

[Download the Memorandum of Understanding between the OISC and the SRA\(PDF 7 pages, 146KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-oisc.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-oisc.pdf)

Memorandum of Understanding between the Judicial Appointments Commission and the SRA

December 2019

Signatories

- Solicitors Regulation Authority
- The Judicial Appointments Commission

[Download the Memorandum of Understanding between the Judicial Appointments Commission and the SRA \(PDF 7 pages, 197KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-jac.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-jac.pdf)

Memorandum of Understanding between HM Land Registry and the SRA



2 August 2019

Signatories

- Solicitors Regulation Authority
- HM Land Registry

[Download the Memorandum of Understanding between HM Land Registry and the SRA \(PDF 8 pages, 48KB\).](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-hmlr.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-hmlr.pdf)

Memorandum of Understanding between the Gambling Commission and the SRA

July 2019

Signatories

- Solicitors Regulation Authority
- Gambling Commission

[Download the Memorandum of Understanding between the Gambling Commission and the SRA \(PDF 8 pages, 214KB\).](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-gambling-commission.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-gambling-commission.pdf)

Memorandum of Understanding between RICS and the SRA

June 2019

Signatories

- Solicitors Regulation Authority
- Royal Institution of Chartered Surveyors

[Download the Memorandum of Understanding between RICS and the SRA \(PDF 6 pages, 169KB\).](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-rics.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-rics.pdf)

Memorandum of Understanding between the JCIO and the SRA

June 2019

Signatories



- Solicitors Regulation Authority
- Judicial Conduct Investigations Office

[Download the Memorandum of Understanding between the JCIO and the SRA \(PDF 5 pages, 192KB\)](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-jcio.pdf>]

Memorandum of Understanding between the IFB and the SRA

May 2019

Signatories

- Solicitors Regulation Authority
- Insurance Fraud Bureau

[Download the Memorandum of Understanding between the IFB and the SRA \(PDF 8 pages, 174KB\)](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-ifb.pdf>]

Framework memorandum of understanding to assist the approved regulators of legal services in their regulatory work for the public interest

May 2019

Signatories

- Solicitors Regulation Authority (SRA)
- Bar Standards Board (BSB)
- Council for Licensed Conveyancers (CLC)
- Chartered Institute of Legal Executives (CILEx) Regulation
- Costs Lawyer Standards Board (CLSB)
- The Faculty Office
- The Institute of Chartered Accountants in England and Wales (ICAEW)
- The Intellectual Property Regulation Board (IPReg)

[Download the framework memorandum \(PDF 8 pages, 769KB\)](#)

[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-framework.pdf>]

Memorandum of Understanding between the FCA and the SRA

April 2019



Signatories

- Solicitors Regulation Authority
- Financial Conduct Authority

[Download the Memorandum of Understanding between the FCA and the SRA\(PDF 11 pages, 193KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-fca-2019.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-fca-2019.pdf)

Memorandum of Understanding between the Information Commissioner and the SRA

March 2019

Signatories

- Solicitors Regulation Authority
- Information Commissioner

[Download the Memorandum of Understanding between the Information Commissioner and the SRA \(PDF 10 pages, 646KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-ico.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-ico.pdf)

Memorandum of Understanding between the Serious Fraud Office and the SRA

March 2019

Signatories

- Solicitors Regulation Authority
- Serious Fraud Office

[Download the Memorandum of Understanding between the Serious Fraud Office and the SRA \(PDF 6 pages, 1MB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-sfo.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-sfo.pdf)

Memorandum of Understanding between The Law Society of Jersey and the SRA

February 2019

Signatories

- Solicitors Regulation Authority
- The Law Society of Jersey



[Download the Memorandum of Understanding between The Law Society of Jersey and the SRA \(PDF 8 pages, 118KB\).](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-lsj.pdf)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-lsj.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-lsj.pdf)

Memorandum of Understanding between Jersey Financial Services Commission and the SRA

February 2019

Signatories

- Solicitors Regulation Authority
- Jersey Financial Services Commission

[Download the Memorandum of Understanding between Jersey Financial Services Commission and the SRA \(PDF 8 pages, 232KB\).](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-jfsc.pdf)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-jfsc.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-jfsc.pdf)

Memorandum of Understanding between CILEx Regulation and the SRA

June 2018

Signatories

- Solicitors Regulation Authority
- CILEx Regulation

[Download the Memorandum of Understanding between CILEx Regulation and the SRA \(PDF 7 pages, 163KB\).](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-cilex.pdf)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-cilex.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-cilex.pdf)

Memorandum of Understanding between the CLC and the SRA for switching between approved regulators

December 2017

Signatories

- Solicitors Regulation Authority
- Council for Licensed Conveyancers

[Download the Memorandum of Understanding between the CLC and the SRA for switching between approved regulators \(PDF 10 pages, 202KB\).](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-switching-clc.pdf)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-switching-clc.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-switching-clc.pdf)



Memorandum of Understanding between the Charity Commission and the SRA

November 2017

Signatories

- Solicitors Regulation Authority
- Charity Commission for England and Wales

[Download the Memorandum of Understanding between the Charity Commission and the SRA \(PDF 18 pages, 469KB\)](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-charity-commission.pdf)
[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-charity-commission.pdf>]

Memorandum of Understanding between the Legal Aid Agency and the SRA

November 2017

Signatories

- Solicitors Regulation Authority
- Legal Aid Agency

[Download the Memorandum of Understanding between the Legal Aid Agency and the SRA \(PDF 7 pages, 158KB\)](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-laa.pdf)
[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-laa.pdf>]

Memorandum of Understanding between the Insolvency Service and the SRA

September 2017

Signatories

- Solicitors Regulation Authority
- The Insolvency Service

[Download the Memorandum of Understanding between the Insolvency Service and the SRA \(PDF 7 pages, 92KB\)](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-insolvency.pdf)
[<https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-insolvency.pdf>]

Memorandum of Understanding between the Isle of Man FSA and the SRA

September 2017



Signatories

- Solicitors Regulation Authority
- Isle of Man Financial Services Authority

[Download the Memorandum of Understanding between the Isle of Man FSA and the SRA \(PDF 8 pages, 239KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-iom-fsa.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-iom-fsa.pdf)

Memorandum of Understanding between the GFSC and the SRA

May 2017

Signatories

- Solicitors Regulation Authority
- Gibraltar Financial Services Commission

[Download the Memorandum of Understanding between the GFSC and the SRA \(PDF 6 pages, 115KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-gfsc.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-gfsc.pdf)

Memorandum of Understanding between the Legal Ombudsman and the SRA

August 2016

Signatories

- Solicitors Regulation Authority
- Legal Ombudsman

[Download the Memorandum of Understanding between the Legal Ombudsman and the SRA \(PDF 5 pages, 143KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-leo.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-leo.pdf)

Operational Protocol for the Sharing and Disclosure of Information between the BSB and the SRA

February 2016

Signatories

- Solicitors Regulation Authority
- Bar Standards Board



[Download the protocol \(PDF 6 pages, 124KB\).](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-bsb-sharing-doi.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-bsb-sharing-doi.pdf)

Memorandum of Understanding between the BSB and the SRA

October 2015

Signatories

- Solicitors Regulation Authority
- Bar Standards Board

[Download the Memorandum of Understanding between the BSB and the SRA \(PDF 6 pages, 142KB\).](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-bsb.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-bsb.pdf)

Switching between approved regulators protocols between Solicitors Regulation Authority and Bar Standards Board

February 2018

Signatories

- Solicitors Regulation Authority
- Bar Standards Board

[Download the Switching between approved regulators protocols between Solicitors Regulation Authority and Bar Standards Board \(PDF 4 pages,](#)

[1MB\)](#) [\[https://rules.sra.org.uk/globalassets/documents/sra/mou/bsb-sra-switch-protocol.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/bsb-sra-switch-protocol.pdf)

Switching between approved regulators protocols between Solicitors Regulation Authority and CILEx Regulation

June 2018

Signatories

- Solicitors Regulation Authority
- CILEx Regulation

[Download the Switching between approved regulators protocols between Solicitors Regulation Authority and CILEx Regulation \(PDF 4 pages, 76KB\).](#)



[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/switch-cilex.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/switch-cilex.pdf)

Memorandum of Understanding between Regulator of Community Interest Companies and the SRA

April 2015

Signatories

- Solicitors Regulation Authority
- Regulator of Community Interest Companies

[Download the Memorandum of Understanding between Regulator of Community Interest Companies and the SRA \(PDF 8 pages, 168KB\)](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-rcic.pdf)
[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-rcic.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-rcic.pdf)

Memorandum of Understanding between the ACPO and the SRA

October 2013

Signatories

- Solicitors Regulation Authority
- The Association of Chief Police Officers of England and Wales

[Download the Memorandum of Understanding between the ACPO and the SRA \(PDF 6 pages, 143KB\)](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-acpo.pdf)
[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-acpo.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-acpo.pdf)

Memorandum of Understanding between the FCA and the SRA concerning exempt professional firms and authorised professional firms

March 2013

Signatories

- Solicitors Regulation Authority
- Financial Conduct Authority

[Download the Memorandum of Understanding between the FCA and the SRA concerning exempt professional firms and authorised professional firms \(PDF 12 pages, 155KB\)](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-fca.pdf)
[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-fca.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-fca.pdf)



Memorandum of Understanding between the Pensions Regulator and the SRA

March 2012

Signatories

- Solicitors Regulation Authority
- The Pensions Regulator

[Download the Memorandum of Understanding between the Pensions Regulator and the SRA \(PDF 12 pages, 229KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-pensions-regulator.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/mou-pensions-regulator.pdf)

Information Sharing Agreements

Statement of Intent between the Solicitors Regulation Authority and the Finnish Bar Association

October 2020

Signatories

- Solicitors Regulation Authority
- Finnish Bar Association

[Download the statement \(PDF 6 pages, 202KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/soi-fba-sra.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/soi-fba-sra.pdf)

Statement of Intent between the Solicitors Regulation Authority and the Lithuanian Bar Association

October 2020

Signatories

- Solicitors Regulation Authority
- Lithuanian Bar Association

[Download the statement \(PDF 7 pages, 216KB\)](#)

[\[https://rules.sra.org.uk/globalassets/documents/sra/mou/soi-lba-sra.pdf\]](https://rules.sra.org.uk/globalassets/documents/sra/mou/soi-lba-sra.pdf)

Example